

Philip G. Feigen

OFFICE MANAGING PARTNER

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Phil Feigen brings a unique perspective to providing general corporate advice, as well as complex business counsel to clients in ever-changing regulatory environments. For more than 25 years, Phil has been providing guidance with respect to investment funds, Small Business Investment Companies and other Small Business Administrative regulations (including the recent PPP rules), federal and state banking laws and federal securities laws.

Phil is recognized as a go-to lawyer for formation and licensing of SBICs, as well as post licensing and transactional regulatory advice. He uses his knowledge of the regulations and relationships with the SBA to guide clients through both routine and difficult regulatory issues. Phil focuses on helping clients through the SBIC licensing process so that they may realize the benefits of the program and increase the amount of investment dollars they are able to manage in their funds.

Phil also has sophisticated experience representing both private and public financial institutions in corporate transactions, as well as general and complex bank regulatory issues.

Further, Phil assists both start-up and large companies with general corporate matters. He also routinely negotiates with federal and state regulators in order to problem solve significant compliance issues. Phil is experienced in corporate compliance, proxy contests, fiduciary duty issues and general SEC registration and ongoing compliance advice.

Education

- Catholic University of America, Columbus School of Law (J.D., 1993)
 - Law Review
- Boston University (B.S., 1987)

Bar Admissions

- District of Columbia, 1994
- Maryland, 1993

Capabilities

- Securities & Corporate Finance
- Investment Management & Funds
- Banking & Financial Institutions
- Corporate & Transactional
- SBIC Regulatory & Finance
- Mergers, Acquisitions & Divestitures
- Financial Institutions Privacy

Memberships

- Bar Association of the District of Columbia
- The Maryland State Bar Association
- Member of the National Walk for Epilepsy Steering Committee

Recognition

- Georgetown University Law Center
 - Former Adjunct professor, Venture Capital and Technology Transactions, and Anatomy of a Deal: Mergers & Acquisitions Transactions

Matters

- Led multiple transactions where a number of multi-billion dollar Farm Credit banks raised \$650 million in preferred stock
- Assisted over 300 companies with applications, forgiveness, and necessity questionnaires with respect to US Paycheck Protection Program
- Represented Tampa based fund in forming form a \$100 million Growth Equity Fund and a Licensed Small Business Investment Company that specializes in equity investments to qualified small businesses. Assisted the Florida based fund with a \$40 million specialized, designated Opportunity Zone (OZ) fund that only makes investment in qualified opportunity zones (low income or rural location) and qualified opportunity zone businesses. All of the investors received preferred tax treatment for this community-based investment and bank investors also receive Community Reinvestment Act credit.
- Represented client on a \$250 million private fund, which is a specialized fund investing in minority- and women-owned businesses. Through the work done with Polsinelli, bank investors will receive Community Reinvestment Act credit.
- Represented a mid-Atlantic based fund on a \$300 million Licensed Small Business Investment Company that specializes in debt and equity investments to qualified small businesses.
- Advised Utah based fund on a \$75 million Licensed Small Business Investment Company that specializes in equity investments to qualified small businesses in the technology sector. All of the bank limited partners are large financial institutions that receive Community Reinvestment Act credit for their investment in the fund.
- Represented multiple banks in making investments in over 30 different Small Business Investment Companies. In each transaction, the bank became a limited partner in the SBIC. Representation included due diligence on the SBIC, preparation of an investment memorandum, negotiation of the terms of the limited partnership agreement and negotiation of individual side letters.

Publications

April 17, 2026

Congress Passes SBIC Reform Bill Expanding Private Capital and Leverage Limits

March 31, 2026

In-House Influence Podcast

Co-Host, In-House Influence Podcast, Washington, D.C.

March 24, 2025

CTA 2.0 – FinCEN Limits CTA’s Reporting Requirements to Certain Non-U.S. Entities and Non-U.S. Individuals

March 3, 2025

Important Update – Treasury Will Not Enforce CTA Against U.S. Citizens, Domestic Reporting Companies and Their Beneficial Owners – New Rules To Follow (March 3, 2025 Edition)

February 28, 2025

Important Update - FinCEN Currently Not Issuing Fines or Penalties in Connection with CTA Reporting Deadlines (February 27, 2025 Edition)

February 19, 2025

Important Update – Corporate Transparency Act Filing Obligations Reinstated and Mandatory

January 24, 2025

UPDATE: CTA Filings Remain Voluntary After Supreme Court Ruling (For the Moment)

January 2, 2025

UPDATE: Government Appeals Corporate Transparency Act Injunction to the U.S. Supreme Court

December 27, 2024

CTA Subject to Nationwide Injunction (Again)

December 24, 2024

UPDATE: Corporate Transparency Act Filing Deadlines Reinstated and Extended until January 13, 2025; Appeals Court Sides with Government in Stay of Nationwide Injunction

December 23, 2024

Corporate Transparency Act Filing Deadlines Reinstated for Now; Appeals Court Sides with Government in Stay of Nationwide Injunction

December 18, 2024

Nationwide CTA Injunction Under Review by 5th Circuit; Congress May Delay January 1, 2025 Deadline

December 6, 2024

Federal Court in Texas Issues Nationwide Injunction Against Enforcement of the Corporate Transparency Act; Case Now on Appeal with 5th Circuit

December 3, 2024

Texas Federal Court Issues Nationwide Injunction Against Enforcement of the

Corporate Transparency Act

October 3, 2024

Court Challenge to Corporate Transparency Act Rebuffed – For Now

September 12, 2024

Accountancy and the Corporate Transparency Act

September 9, 2024

The Corporate Transparency Act: What Homes Associations and Neighborhood Associations Need to Know

July 31, 2024

FinCEN Issues a Notice to Financial Institution Customers on Beneficial Ownership Information Requirements

July 10, 2024

FinCEN Publishes Updated FAQs

March 4, 2024

Court Challenge to Corporate Transparency Act Prevails – For Now

January 30, 2024

FinCEN Publishes Updated FAQs to Assist in Reporting Compliance

December 13, 2023

ACC NCR Roundtable: Corporate Transparency Act Goes Into Effect on Jan 1...Are you Prepared?

Co-Presenter, ACC NCR

September 13, 2023

Banking Crisis Update

2023 Annual Business Law Institute

September 13, 2023

What Do You Mean the Corporate Transparency Act Applies to My Business?

2023 Annual Business Law Institute

July 21, 2023

North American Financial Services Trendspotter: Investment management deals regain momentum; banks, fintechs slump

Quoted, ION Analytics

July 21, 2023

SBA New Final Rule Establishes Accrual SBIC License and Implements Significant Changes to SBIC Regulations

May 15, 2023

M&A Litigation: Early Dispute Resolution Successes

How to Protect Large Deposits over \$250,000

March 10, 2023

Silicon Valley Bank Receivership

January 18, 2023

Screening Failures Caused PPP Fraud